



PCT09

## CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09|763,822  
Filing Date: 02|26|2001  
Date Processed by STIC: 3|25|03

STIC Contact: Mark Spencer, 703-308-4212

### Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached) *melted*  
☐ Blank (no files on CRF) (see attached)  
☐ Empty file (filename present, but no bytes in file) (see attached)  
☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.  
☐ Not saved in ASCII text  
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.  
☐ Did not contain a Sequence Listing. (see attached sample)  
☐ Other: \_\_\_\_\_

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name,  
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,  
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,  
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

CORRECT

Filing Receipt

TO: APPLICATION DIVISION  
CUSTOMER CORRECTIONS  
MAILING IN CP2-7610  
WALK-IN CP2-6D05

(PALM LOC. 0380)

FROM:

GROUP OR OFFICE DD-ED	PALM LOC CODE 5640	DATE FORWARDED 20 July 01	APPLICATION SERIALS NO. 09/720,045
PERSON TO CONTACT A. Johnson	FOR AN INQUIRY ON THIS CORRECTION (AFTER 5 WORKDAYS) PHONE TEAM V 308-3610		
PHONE NO. 305-3661			

CORRECTION REQUIRED:

PRIORITY YES FRX  
PCT/FR98/01396

FOR APPLICATION DIVISION USE:

DATE RECEIVED: \_\_\_\_\_

DATE RETURNED: \_\_\_\_\_

PROCESSED BY: \_\_\_\_\_

DATE THE CORRECTED RECEIPT MAILED: \_\_\_\_\_

COMMENTS:

Comment Sheet

**APPLICATION SERIAL NUMBER**

**09720045**

**DOES NOT COMPLY WITH THE  
SEQUENCE RULES. See reasons below.**

Paper 9 filed 15 Sep 2002 is noted as indicating no sequence listing and no CRF is needed, however, page 28, line 10 contains the tetrapeptide sequence "... Ile-Glu-Ala-Arg – p – nitroaniline". This is a sequence of 4 amino acid residues. 37 C.F.R. 1.821 indicates that four (4) or more defined residues in a sequence require a sequence listing.